



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/708,592	03/12/2004	Aliza Freud	03292.101920.	2591
5514	7590	04/27/2009		
FITZPATRICK CELLA HARPER & SCINTO			EXAMINER	
30 ROCKEFELLER PLAZA				POE, KEVIN T
NEW YORK, NY 10112			ART UNIT	PAPER NUMBER
			3693	
			MAIL DATE	DELIVERY MODE
			04/27/2009	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/708,592	FREUD ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	KEVIN POE	3693	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 28 January 2009.

2a) This action is **FINAL**.                            2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-16 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-16 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) Notice of References Cited (PTO-892)

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.

4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.

5) Notice of Informal Patent Application

6) Other: \_\_\_\_\_.

## DETAILED ACTION

1. This office action is in response to applicant's communication of January 28, 2009. Claims 1-16 are pending and have been examined. The rejections are stated below.

### ***Response to Arguments***

2. Applicant's arguments with respect to rejected claims have been considered but are moot in view of the new ground(s) of rejection. The rejection of claim 15 under 35 U.S.C. 101 has been withdrawn.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims **1-2, 4-11, 13 and 15-16** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Arkes [US Pub No. 2007/0118461 A1]** in view of **Griffiths [US Pub No. 2002/0116316 A1]**.

5. Regarding **claim 1**, Arkes discloses a method for facilitating the redemption of amounts accumulated in a rewards account through an online auction (Abstract, 0006) comprising the steps of: selecting an auction system from a plurality of auction systems , wherein each auction system manages a plurality of auctions (Figure 1, 0022); wherein the rewards account is managed by one of the plurality of account managers (0022, auction sponsor); bidding an amount contained within the rewards account on an auction item being auctioned within the auction system (0060); determining a winning bid amount on the auction item to identify a winning auction bid (0060); and completing a financial transaction for the winning auction bid (0064).

Arkes does not explicitly disclose registering, by an auction middleware application, a rewards account with the auction system, wherein the auction middleware application is adapted to interact with a plurality of account managers offering a plurality of rewards programs, wherein the auction middleware application acts an interface between the plurality of account managers and the plurality of auction systems. However Griffiths teaches listing registration system receives seller identification information (such as name, address, credit card account for charges or payments, user ID, password, and other suitable information), listing information (such as number of items, starting bid, reserve price, lot description, and other suitable information), and other suitable information (0030). Arkes teaches the Auction manager system interfaces with auction systems to allow each of the listings on auction systems to be coordinated and consolidated (0022). At the time of the invention it would have been

obvious to one of ordinary skill in the art to modify the disclosure of Arkes to include the teachings of Griffiths to obtain invention specified in claim 1. The rationale to combine the teachings would be an improved auction system that allows auction listings for two or more sites to be consolidated, so as to allow the listings on different sites to be made available to bidders at all sites.

6. Regarding **claim 2**, Arkes discloses obtaining participant data, wherein said participant data includes at least one of account information, username and password. [0007 and 0056]

Arkes discloses transmitting said participant data to an auction to facilitate verification; and, receiving verification of said participant data from said auction. [0056-0057]

7. Regarding **claim 3**, Arkes does not explicitly disclose wherein the step of registering further comprises the step of sending an e-mail to an e-mail address of the participant confirming their registration. However Griffiths teaches disclose wherein the step of registering further comprises the step of sending an e-mail to an e-mail address of the participant confirming their registration (0030). At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the disclosure of Arkes to include the teachings of Griffiths to obtain invention specified in claim 3. The rationale to combine the teachings would be an improved auction system that allows

auction listings for two or more sites to be consolidated, so as to allow the listings on different sites to be made available to bidders at all sites.

8. Regarding **claim 4**, Arkes discloses transmitting a participant's account information to an auction further comprises the steps of: querying the auction to verify that the auction accepts the rewards account for payment during participation in the auction. [0029]

Arkes discloses querying a management system for the account to validate the participant's identity. [0023]

Arkes discloses transmitting account data of the participant to an auction system database. [0008]

9. Regarding **claim 5**, Arkes discloses transmitting the participant's username and password to the auction further comprises the steps of: verifying that the username and password entered by the participant are both in an acceptable format; verifying that neither the username or password entered by the participant are being used by another; and transmitting the username and password entered by the participant to an auction system database. [0007 and 0056]

10. Regarding **claim 6**, Arkes discloses the step of bidding an amount contained within the rewards account comprises the steps of: presenting a rewards participant with

a webpage of an auction site having one or more ongoing auctions for selection by the participant. [0023]

Arkes discloses presenting information to the participant relating to at least one of an auction and auction item selected by the participant. [0007]

Arkes discloses allowing the participant to enter and submit an amount of rewards from the participant's account to bid on the auction item; and transmitting the participant's bid amount to post a bid on the auction site. [0008]

11. Regarding **claim 7**, Arkes discloses verifying a balance in the rewards account. [0071]

Arkes discloses querying the auction to verify that the participant's bid exceeds the amount of any previous bid for the auction item. [0060]

Arkes discloses querying the auction to verify that the participant's bid exceeds any minimum bid amount set for the auction item. [0047]

Arkes discloses posting the participant's bid amount on the auction site. [0058]

12. Regarding **claim 8**, Arkes discloses the step of bidding an amount contained within the rewards account on an auction item within the online auction comprises querying the auction to verify that combined amounts of any current bids posted by the participant do not exceed the rewards account balance. [0041]

13. Regarding **claim 9**, Arkes discloses the step of determining a winning bid comprises the steps of: terminating the ability to post bids to an auction item. [0064]

Arkes discloses determining a winning bid for the auction item by querying the auction system for a highest amount bid for the auction item and an identity of the participant bidding the highest amount; and verifying that the participant with the winning bid has an account balance to cover the winning bid. [0060]

14. Regarding **claim 10**, Arkes discloses the following steps when the participant's balance does not cover the winning bid: a) querying the auction system for the next highest bid amount; b) verifying that the next highest bid exceeds any minimum bid amount set for the auction item; c) verifying that the participant of the next highest bid has an account balance to cover the next highest bid; and d) repeating steps a)-c) until an participant has an account balance to cover the next highest bid. [0050]

15. Regarding **claim 11**, Arkes discloses verifying that that combined amounts of any current bids posted by the participant of the next highest bid do not exceed the rewards account balance for the participant of the next highest bid. [0060]

16. Regarding **claim 13**, Arkes discloses providing reward account details and account history data, wherein said account history data may include at least one of

dates and descriptions of items the participant has bid on, the cash rewards amount bid during each auction, winning bid amounts, and current bid status. [0068]

17. Regarding **claim 15**, Arkes discloses a computer-readable medium having stored thereon sequences of instructions, the sequences of instructions including instructions which when executed by a computer system causes the computer system to perform: selecting an auction system from a plurality of auction systems , wherein each auction system manages a plurality of auctions (Figure 1, 0022); wherein the rewards account is managed by one of the plurality of account managers (0022, auction sponsor); bidding an amount contained within the rewards account on an auction item being auctioned within the auction system (0060); determining a winning bid amount on the auction item to identify a winning auction bid (0060); and completing a financial transaction for the winning auction bid (0064).

Arkes does not explicitly disclose registering, by an auction middleware application, a rewards account with the auction system, wherein the auction middleware application is adapted to interact with a plurality of account managers offering a plurality of rewards programs, wherein the auction middleware application acts an interface between the plurality of account managers and the plurality of auction systems.

However Griffiths teaches listing registration system receives seller identification information (such as name, address, credit card account for charges or payments, user ID, password, and other suitable information), listing information (such as number of items, starting bid, reserve price, lot description, and other suitable information), and

other suitable information (0030). Arkes teaches the Auction manager system interfaces with auction systems to allow each of the listings on auction systems to be coordinated and consolidated (0022). At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the disclosure of Arkes to include the teachings of Griffiths to obtain invention specified in claim 15. The rationale to combine the teachings would be an improved auction system that allows auction listings for two or more sites to be consolidated, so as to allow the listings on different sites to be made available to bidders at all sites.

18. Regarding **claim 16**, this claim is a system claim that implements the method of claim 1. Arkes in view of Griffiths teach implementation of the method of claim 1 using a system as claimed (Arkes Abstract Figure 1, 0027, 0083 and Griffiths Figure 1, 0016).

19. Claim **12** is rejected under 35 U.S.C. 103(a) as being unpatentable over **Arkes [US Pub No. 2007/0118461 A1]** in view of **Griffiths et al. [US Pub No. 2002/0116316 A1]** and further in view of **Yakos [US Pub No. 2004/0260645 A1]** and **Iannacci [US Pub No. 2002/0062249 A1]**.

20. Regarding **claim 12**, Arkes discloses the step of completing a financial transaction for the winning bid comprises the steps of: debiting the winning bid amount from the rewards account of the participant having the winning auction bid. [0081]

Arkes does not explicitly disclose crediting the winning bid amount to an account owned by an account manager who manages rewards accounts. However Yakos discloses an account manager site; and h) said central data processing site responsive to said data indicative of a transaction, producing account manager credit data indicative of at least a part of or percentage of the amount of said transaction credited to said account manager site. [Yakos, Claim 10]

At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the disclosure of Arkes to include the teachings of Yakos to obtain invention as specified in claim 12. The rationale to combine the teachings would be to assist in the settlement of the auction item.

Arkes does not explicitly disclose settling with a merchant who sold the auction item. However Iannacci discloses effective means to establish and execute option supply and acquisition functions in order to acquire incentives and awards and then guide their actions and settle the merchant's payment request by selecting and delivering the appropriate payment and redemption accounts. [0241]

At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the disclosure of Arkes to include the teachings of Iannacci to obtain invention as specified in claim 12. The rationale to combine the teachings would be an on-line, interactive, and fully integrated benefit-driven value exchange and settlement program that monitors, evaluates, and manages economic and personal benefits and executes functions to produce and acquire the maximum or preferred benefit items for users by guiding and automating appropriate payment and settlement actions.

21. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Arkes** [US Pub No. 2007/0118461 A1] in view of **Griffiths et al.** [US Pub No. 2002/0116316 A1] and further in view of **Mok et al.** [US Pub No. 2004/0015394 A1].

22. Regarding **claim 14**, Arkes does not explicitly disclose at least one of adding or removing participating reward accounts. However Mok et al. discloses in order to facilitate the administration of the reward program, the program administrator may also assign the customer a form of identification and then establish a reward account based on the identification assigned. [0023]

At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the disclosure of Arkes to include the teachings of Mok et al. to obtain invention as specified in claim 14. The rationale to combine the teachings is this account will provide a place for the program administrator to automatically depositing rewards.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN POE whose telephone number is (571)272-9789. The examiner can normally be reached on Monday-Friday 9:30am - 6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Kramer can be reached on 571-272-6783. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

ktp

/JAGDISH N PATEL/

Acting Examiner of Art Unit 3693